2001/008

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Date: 09 September 2004

Coversheet + Page(s)

RECIPIENT:

FACSIMILE NUMBER

9 September 2004

Office of Initial Patent Examination's Filing Receipt Corrections

(703) 746 - 9195

Regarding:

US Patent Application Serial No. 10/676,815

Macoviak et al.

Attorney Docket No. 9542.18429-FOR

From:

Daniel D. Ryan, Reg. No. 29,243

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted to the United States Patent Office on 9 September 2004, addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile No. 703-746-9195

cc: Bradford R.L. Price, Esquire

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. This message may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us by mail. Thank you.

Customer No. 26308

<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Macoviak et al.

Examiner: Unknown

Serial No.

10/676,815

Group Art Unit: 3738

Filed

1 October 2003

For

Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a

Native Heart Valve Leaflet

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the official filing receipt issued in the above matter.

Applicant requests the Patent Office to issue a new, corrected Filing Receipt in the above matter as follows:

Insert the following data under Domestic Priority Data as Claimed by Applicant:

This appln claims benefit of 60/429,444 11/26/2002

and claims benefit of 60/429,709

11/26/2002

and claims benefit of 60/429,462

11/26/2002

Insert the following data under Foreign Applications:

PCT/US02/31376

10/01/2002

A copy of the Declaration/Power of Attorney filed in this application and confirming this information is attached.

Please issue a corrected filing receipt bearing the corrected information as outlined above. Should any fees be due in connection with this matter, please charge Deposit Account No. 06-2360.

Respectfully submitted,

Daniel D. Ryan, R. No. 29,243

RYAN KROMHOLZ & MANION, S.C. P.O. Box 26618 Milwaukee, Wisconsin 53226

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09 September 2004

09:47 FAX 262 783 1211 RYAN KROMHOLZ PATENT Customer No. 26308 Lucket No. 9542.18429-FOR COMBINED DECLARATION AND POWER OF ATTORNEY (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP) As a below named inventor, I hereby declare that: TYPE OF DECLARATION This declaration is of the following type: (check one applicable item below) [x] original [] supplemental Type of Application: (check one applicable item below) [x] original [] design If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application NOTE: do not check next item; check appropriate one of last three items. [] national stage of PCT If one of the following items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR NOTE: [] divisional [] continuation

INVENTORSHIP IDENTIFICATION

WARNING:

[] continuation-in-part (CIP)

If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet

SPECIFICATION IDENTIFICATION the specification of which: (complete (a), (b) or (c)) is attached hereto. (a) 1 was filed on 1 October 2003 as [x] Serial No. 10/676,815 (b) [x] or [] Express Mail No., as Serial No. not yet known (if applicable). and was amended on Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing NOTE:

date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

(c)	[]	was described and claimed in PCT International Application No
(0)		filed on and as amended under PCT Article 19 on
		(if any). ,

(Declaration and Power of Attorney [1-1]-page 1 of 5)

09/09/04

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

l acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, \S 1.56

(also check the following item, if desired)

[] In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) [x] no such applications have been filed.
- (e) [] such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN
12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS
APPLICATION AND ANY PRIORITY CLAIMS UNDER
35 U.S.C. S 119

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY (UNDER 37	
			[] YES	NO[]
			[]YE\$	NO[]
			[]YES	NO[]
			[]YES	NO[]
		1	[]YES	NO[]

ALL F	FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
	(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. S 120.

POWER OF ATTORNEY

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Daniel D. Ryan (29,243) John M. Manion (38,957) Arnold J. Ericsen (16,879) Patricla A. Limbach (50,295) Joseph A. Kromholz (34,204)
Daniel R. Johnson (46,204)
Laura A. Dable (46,436)
Patrick J. Fleis (P-55,185)

Customer No.: 26308

[] Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Daniel D. Ryan

RYAN KROMHOLZ & MANION, S.C.

Post Office Box 26618

Milwaukee, Wisconsin 53226-0618

Daniel D. Ryan PHONE CALLS (262) 783 - 1300

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filling receipt and all other documents.

<u> John</u>	_	Macoviak
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)
Inventor's signature	N. Miles	
	Country of Citizenship US	
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Post Office Address	5412 Thunderbird Lane	
	La Jolla, CA 92037	
Full name of second joint inver	ntor, if any	
Robert /7	<u>_</u>	Chang
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)
Inventor's signature	Muy	
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David	, ii catiy	Debdod
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	Rahdert FAMILY (OR LAST NAME)
Inventor's signature	a Kalche	PANIET (OR EAST NAME)
Date	Country of Citizenship US	
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		•
	•	
Full name of fourth joint inventor	r, if any	
Timothy	- 11 - R.	Machold
(GIVEN NAME)	UMIDOLE INITIAL OR NAME)	FAMILY (OR LAST NAME)
Inventor's signature	HKG & MICHAEL	
Date 1/19/04	Country of Citizenship US	
Residence (City, State/Country)		
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	Moss Beach, CA 94038	
		•
Full name of fifth joint inventor, it	f any	
Rick	r arry	Danie
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	SOSS
inventor's signature 🔙 🖊 🦒 🔾	C Secs (MILIAC OK MANE)	FAMILY (OR LAST NAME)
Date 1 - 19 - 64	Country of Citizenship US	
Residence (City, State/Country)	Burlingame, California / US	
Post Office Address	110 Bloomfield	
	Burlingame, CA 94010	
		

CHECK PROPER B (ES) FOR ANY OF THE FOLLOWING AD) PAGE(S) WHICH FORM A PART OF THIS DECLARATION

l.	Signature for sixth and subsequent joint inventors.

[]	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.

[]	Signature for Inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47.
	•••
[x]	Added page to combined declaration and power of attorney for US Priority Claim

[]	Authorization of attorney(s) to accept and follow instructions from representative

	(If no further pages form a part of this declaration then end this declaration with this page and check the following item:)
	[] This declaration ends with this page

Customer No. 26308

Docket N. <u>9542.18429-FOR</u>

ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR US PRIORITY CLAIM

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, S 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, S 112, I acknowledge the duty to disclose information that is material to the examination of this application, namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120:

Status (CHECK ONE)

	U.S. FILING DATE	Patented	Pending	Aban
.09/666,617	20 September 2000			
.60/326,590	1 October 2001			
.60/429,444	26 November 2002			
.60/429,709	26 November 2002			
i. <u>60/429.462</u>	26 November 2002			
	PCT APPLICA	FIONS DESIGNATING TI	HE U.S.	
PCT APPLICATION NO.	PCT DA	FILING TE		SERIAL GNED (if any)
6. PCT/US02/31376	1 October 2002			
·				
35 USC 119 PR	IORITY CLAIM, IF AI	IY, FOR ABOVE LISTE	D U.S./PCT APPLIC	CATIONS
	•	-		
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DETAILS C		ATION FROM WHICH F	PRIORITY APPLICA	
DETAILS C		ATION FROM WHICH F ED UNDER 35 USC 119	PRIORITY APPLICA	
DETAILS O		ED UNDER 35 USC 119		TION
	CLAIME	Date of filing	Date of it	SSUE
Country		ED UNDER 35 USC 119	Date of it	TION
	CLAIME	Date of filing	Date of it	SSUE
Country	CLAIME	Date of filing (day, month, year)	Date of it	SSUE
Country	CLAIME Application No.	Date of filing (day, month, year)	Date of it	SSUE
Country	CLAIME Application No.	Date of filing (day, month, year)	Date of it	SSUE
Country	CLAIME Application No.	Date of filing (day, month, year)	Date of it	SSUE